



Local Variation and
Looking Ahead

**SAFE
STRONG
SUPPORTIVE**

casey family programs

**Child
Welfare
Financing
301**

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safe children | strong families | supportive communities

Before we begin

- Lines have been muted to reduce disruptions
- Webinar will be recorded and posted on Casey.org
- Please pose questions throughout the session:
 - On the Zoom Platform: Select “Questions and Answers” dialogue button, type in your question, and hit send.
 - If attending by phone only, please email your questions to KMresources@casey.org.
- We will collect questions throughout the webinar and do our best to answer them - either immediately, or in the Q&A portion at the end. If we don't get to your question, we will provide answers in a follow-up document sent to all registrants along with session recording and handouts.

Presenters

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Practice with Polling

1. Practice question: ***Please select your organizational affiliation***
2. Practice moving, scrolling, and expanding the polling box to show all answer options
3. Select your answer and hit submit
4. Host will display summary results for the group

The Plan

- ✓ **Part 1** – A little history, introduction to child welfare funding and IV-E basics
- ✓ **Part 2** – Activities using your knowledge of child welfare funding and federal funding requirements
- **Part 3** – A deeper look at state/local funding variations among jurisdictions and funding implications of the Family First Prevention Services Act.

State Plans

- Child and Family Services Plan
- CAPTA State Plan (*Part of CFSP*)
- Title IV-E State Plan
- AFDC State Plan (1996)
- TANF State Plan
- Child Care and Development State Plan
- Medicaid State Plan
- And More...

State & Tribal Child and Family Services Plan

In order to receive federal funding under title IV-B, a state or tribal agency requesting title IV-B funds must submit a 5-year Child and Family Services Plans (CFSP) and Annual Progress and Services Reports (APSRs).

The CFSP is a document that outlines a state or tribe's vision and goals to address child and family needs and activities to administer and coordinate services to promote the safety, permanency, and well-being of children.

The APSR is a report that provides information on a tribe's vision and goals, the initiatives being implemented, and the progress made over the next 5 years to promote the well-being of children.



CFSP

APSR

Let's Play Pin the Tail



The State Plan Donkey

State Plan Donkey Tails

State Plan	Provision
	1. Within 30 days after the removal of a child from the custody of the parent or parents of the child, the State shall exercise due diligence to identify and provide notice to all adult grandparents and other adult relatives of the child.
	2. A certification by the chief executive officer of the State will operate a foster care and adoption assistance program and that the State will take such actions as are necessary to ensure that children receiving assistance are eligible for medical assistance under the State plan under title XIX.
	3. Description of how the State identifies which populations are at the greatest risk of maltreatment and how services are targeted to the populations.
	4. The ... State Plan must provide for making medical assistance available ...to all individuals ...who were in foster care under the responsibility of the State on the date of attaining 18 years of age or such higher age as the State has elected... and were enrolled in the State plan under this title or under a waiver of the plan while in such foster care;

A - Child and Family Services Plan (IV-B Subpart 1, CWS)	B - Child and Family Services Plan (IV-B Subpart 2, PSSF)
C - CAPTA State Plan	D - Title IV-E State Plan
E - Medicaid State Plan	F - TANF State Plan

More State Plan Donkey Tails

State Plan	Provision
	5. A description of the State standards for the content and frequency of caseworker visits for children who are in foster care, which, at a minimum, ensure that the children are visited on a monthly basis.
	6. Within 60 days after the State receives from another State a request to conduct a study of a home environment, the State shall conduct and complete the study; and return to the other State a report on the results of the study, which shall address the extent to which placement in the home would meet the needs of the child.
	7. Certification by the Governor that the State has in effect and is enforcing a State law or has in effect and is operating a statewide program, relating to child abuse and neglect that includes provisions or procedures for an individual to report known and suspected instances of child abuse and neglect, including a State law for mandatory reporting by individuals required to report such instances.
	8. Certification that the State has in effect...methods to preserve the confidentiality of all records in order to protect the rights of the child and of the child's parents or guardians....enrolled in the State plan under this title or under a waiver of the plan while in such foster care;

A - Child and Family Services Plan (IV-B Subpart 1, CWS)	B - Child and Family Services Plan (IV-B Subpart 2, PSSF)
C - CAPTA State Plan	D - Title IV-E State Plan
E - Medicaid State Plan	F - TANF State Plan

Bucket, Bucket



Cost Allocation

- Statewide Cost Allocation Plan
- Public Assistance Cost Allocation Plan
 - Temporary Assistance to Needy Families (TANF)
 - Medicaid
 - Food Stamps
 - Child Support Enforcement
 - Adoption Assistance and Foster Care, and
 - Social Services Block Grant.



Types of Costs

- **Indirect Costs** - Indirect Costs are those costs incurred for a common or joint purpose benefiting multiple programs but that are not readily identifiable to the benefiting programs without effort that is disproportionate to the results achieved.
- **Direct Charged Costs** - Direct Costs, are the costs that can be specifically identified as benefiting one particular final cost objective. For these cost no further allocation is required.
- **Direct Allocated Costs** - Directly Allocated Costs, support multiple programs and the costs can be equitably distributed to these programs based on a benefiting approach. Each joint cost is allocated using a base that accurately measures the benefits provided to each program.

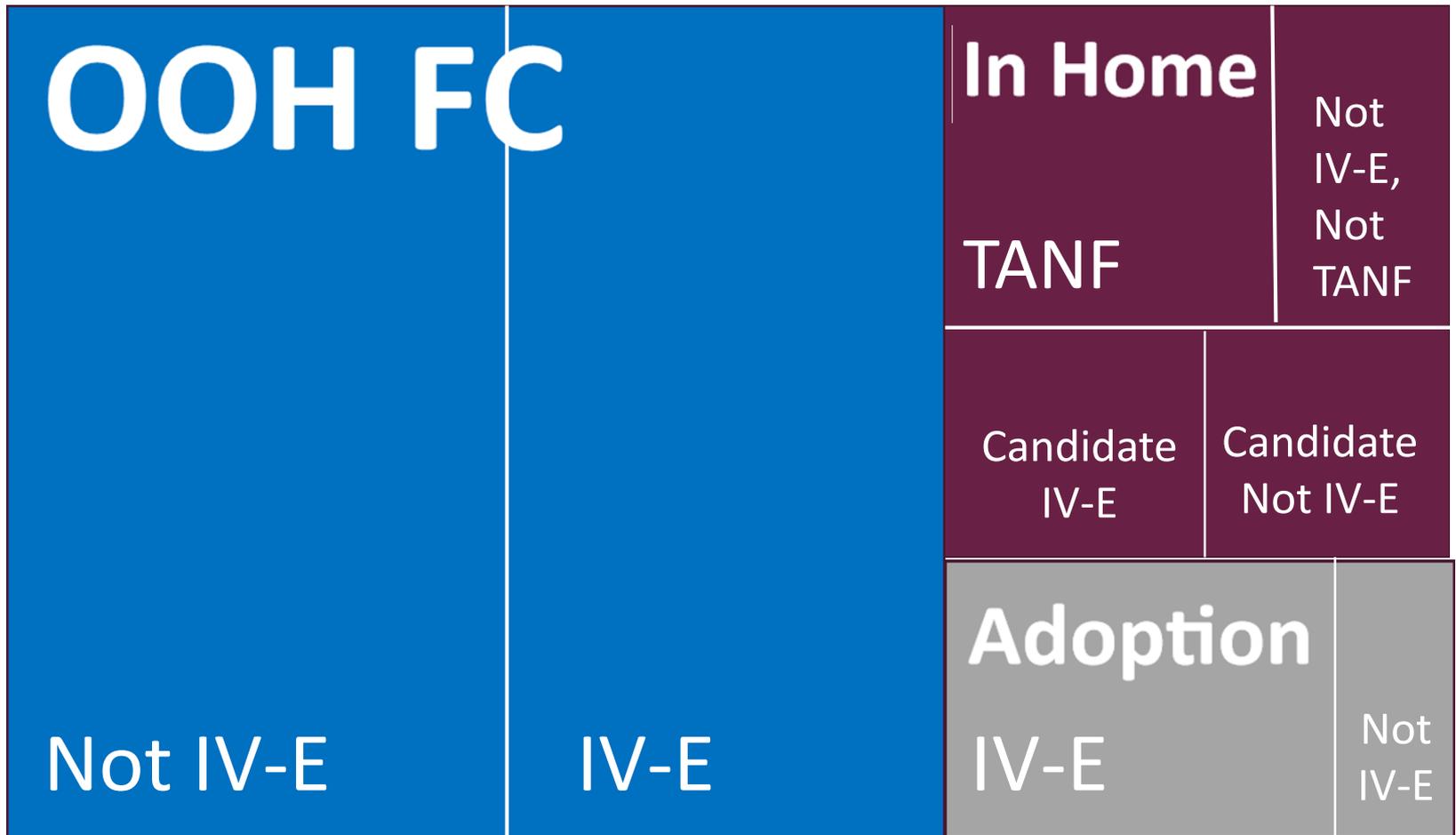
Maintenance Payments

Allocation based on Eligibility (Child & Setting)



Case Management

Allocation by Random Moment Sample (RMS) or Other Approved Methodology





**IV-B
CWS**



**IV-B
PSSF**



State/Local



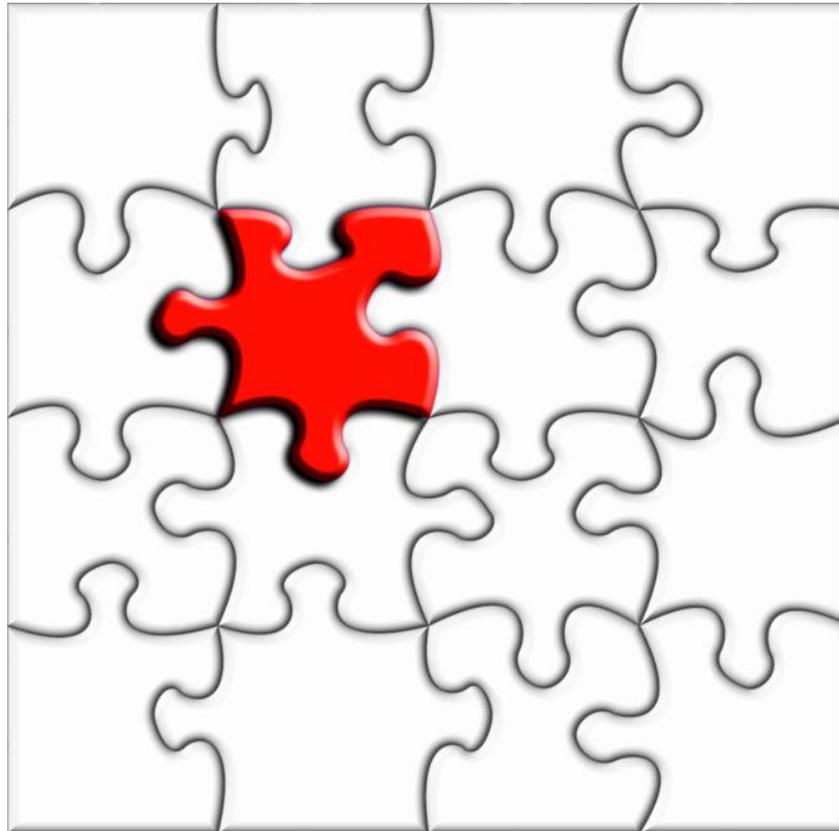
SSBG



CAPTA

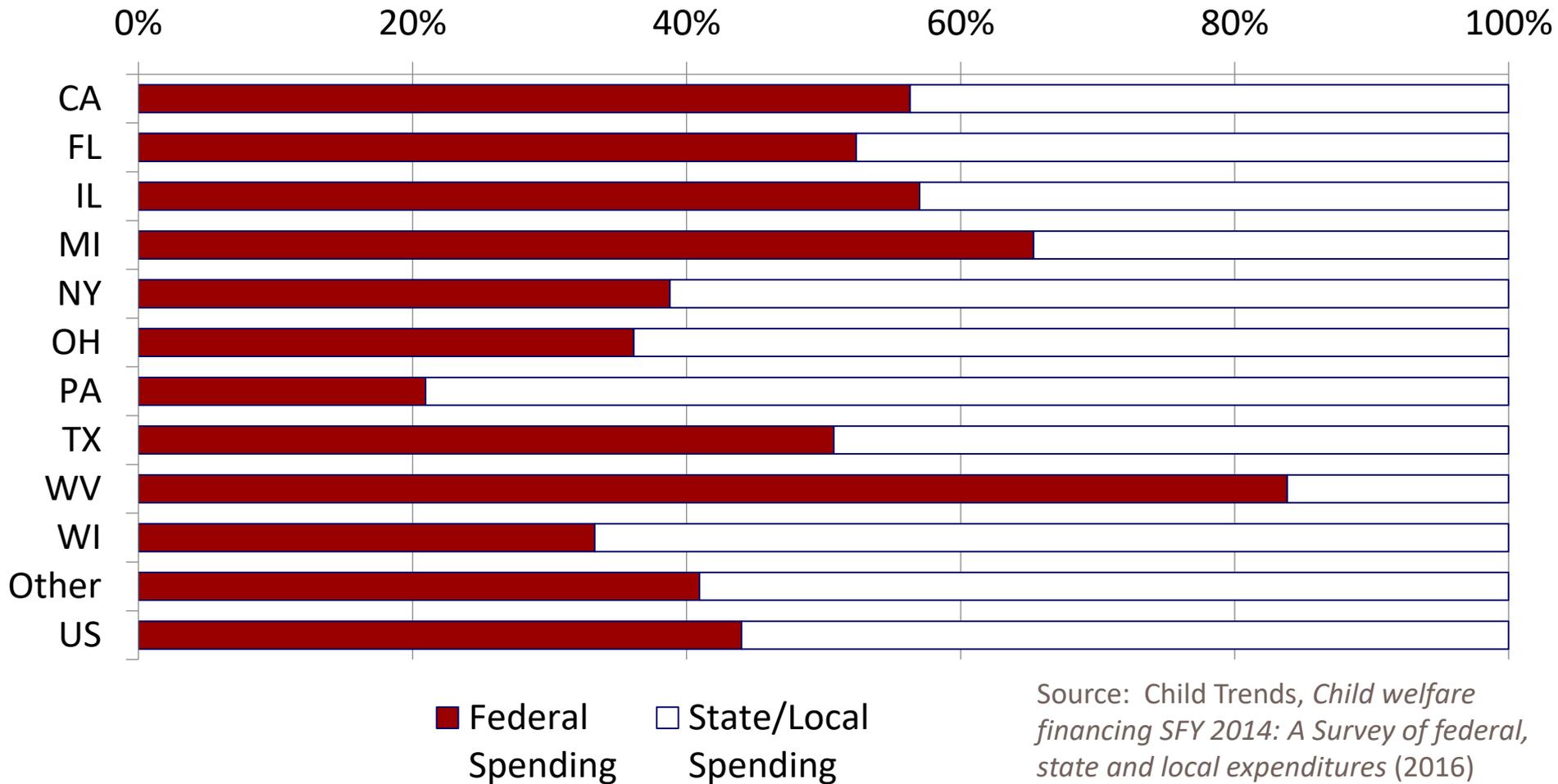
FEDERAL FUNDS

One Piece of the Puzzle



STATE/LOCAL SPENDING

Selected States



Source: Child Trends, *Child welfare financing SFY 2014: A Survey of federal, state and local expenditures* (2016)

State/Local Funds

Some common factors in the amount of nonfederal funds used in child welfare

- State's FMAP
- Participation rate
- 1996 AFDC Standard of Need
- Caseload Composition
- Array of Services
- State decisions to commit funds beyond federal matching or MOE requirements

What is FMAP?

Step 1: State Share

$$0.45 \times \left(\frac{\text{State per capita income}^2}{\text{U.S. per capita income}^2} \right)$$

Step 2: Federal Share = 1 - State Share

Per capita income based on the most recent three year average that is available at the time FMAP is calculated.

The law sets the minimum FMAP as 50% and the maximum as 83%.

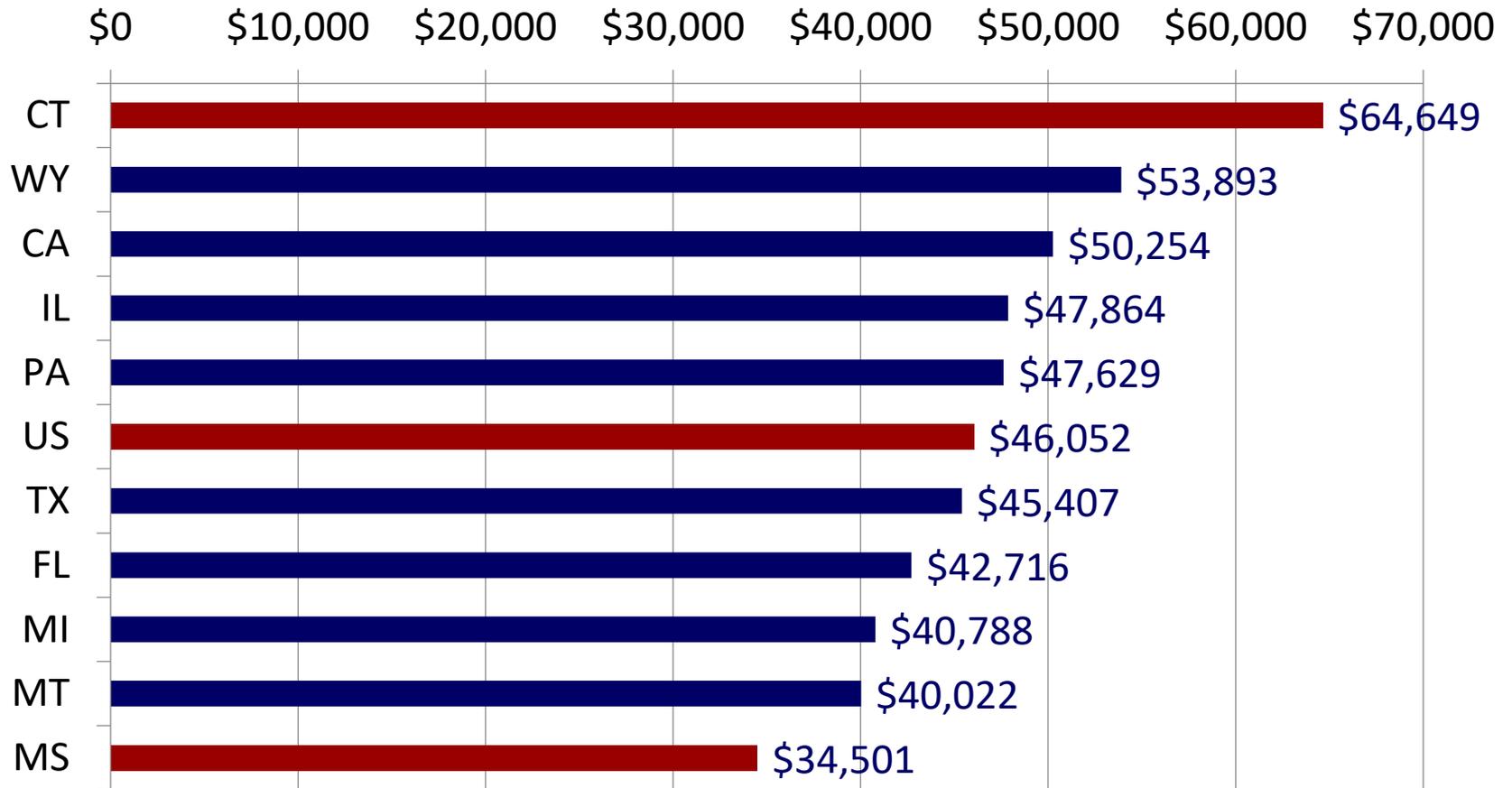
FMAP Pop Quiz

California	Mississippi
Connecticut	Montana
Florida	Pennsylvania
Illinois	Texas
Michigan	Wyoming

- Of these states, which 3 have the lowest average per capita income (and therefore the highest FMAP)?
- Of these states, which 3 have the highest per capita income (and therefore the lowest FMAP)?

Per Capita Income

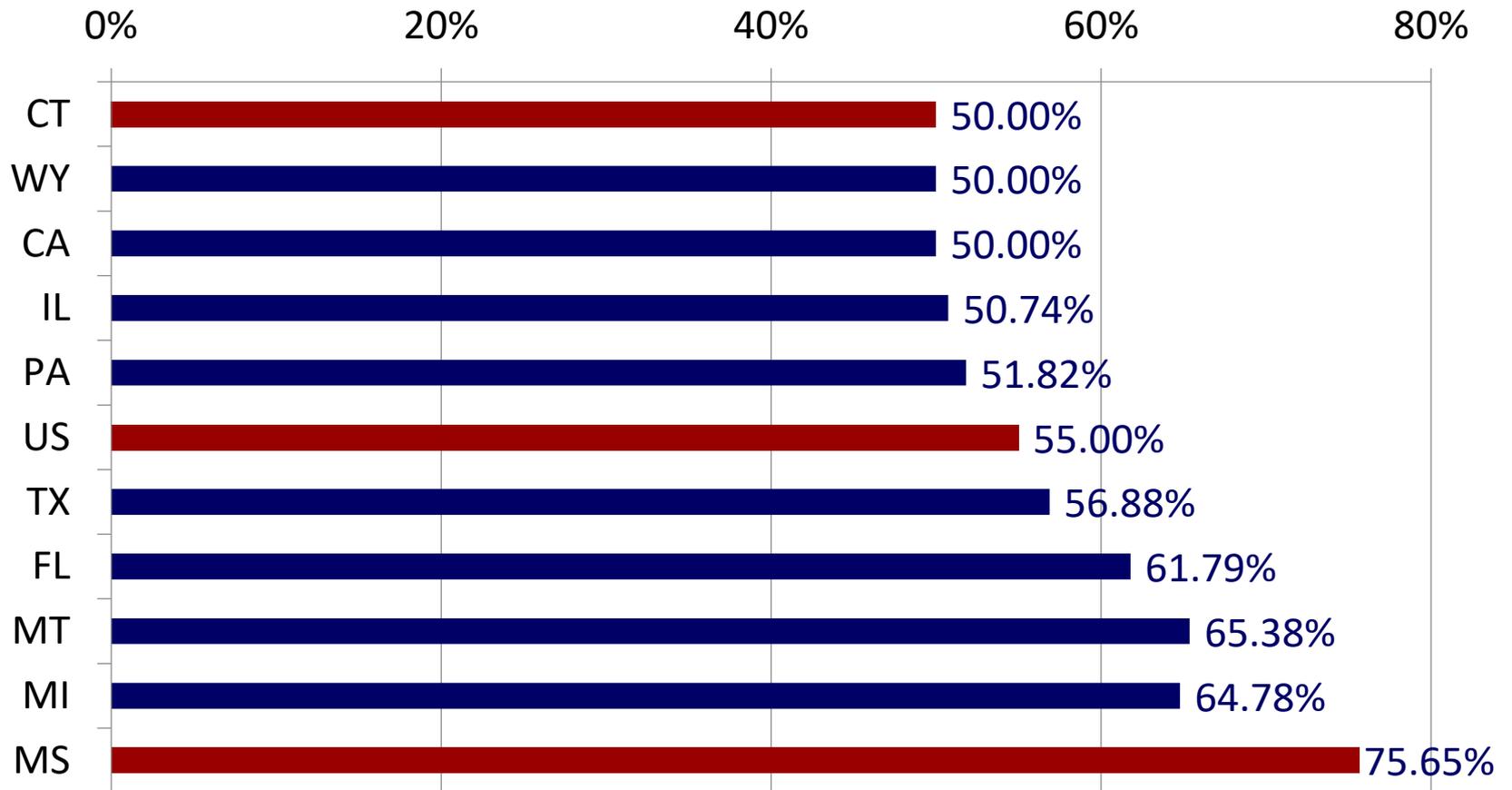
Selected States



Average of CY 2013-2015 computed by FFIS from BLS data

FMAP – FFY 2018

Selected States

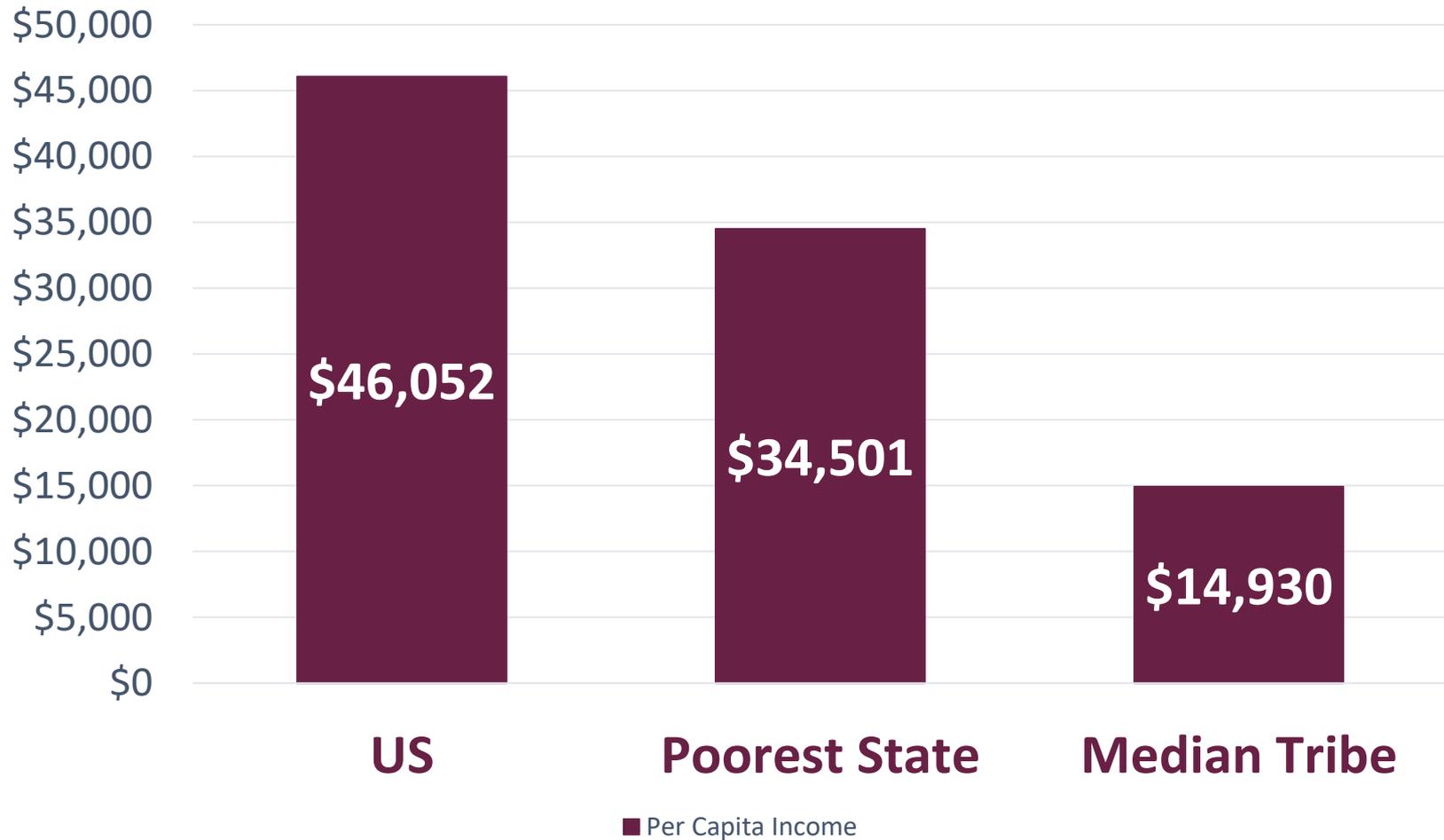


Note: Most Tribal FMAPs are at or near 83%

Tribal FMAP (Some Examples)

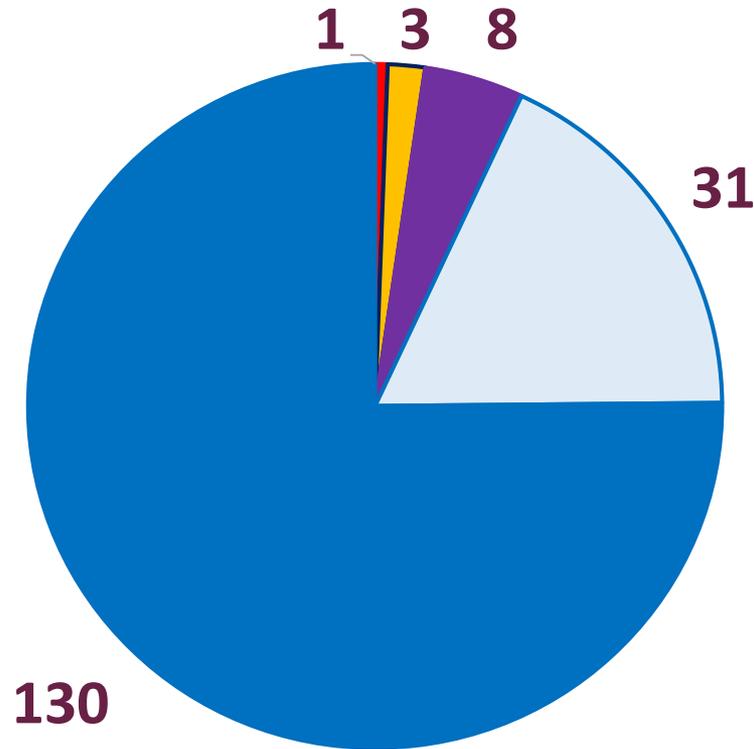
Reg	State	Tribes/Tribal Association	FY2018 Tribal FMAP (based on American Community Survey 2011-2015 data)	Per Capita Income for the American Indian/Alaska Native Alone Population for 2011-2015 (American Community Survey 5-Year Estimate)
X	OR	Confederated Tribes of Coos, Lower Umpqua and Siuslaw Indians	83%	\$5,956
X	OR	Confederated Tribes of the Grand Ronde	75%	\$21,568
X	OR	Confederated Tribe of Siletz Indians	83%	\$8,896
X	OR	Coquille	83%	\$10,555
X	OR	Klamath Tribes	83%	\$6,167
X	WA	Jamestown S'Klallam Tribe	62%	\$26,873
X	WA	Lummi Nation	83%	\$13,415
X	WA	Muckleshoot Tribe	83%	\$13,713
X	WA	Nisqually Indian Tribe	83%	\$15,739
X	WA	Nooksack	83%	\$13,161
X	WA	Port Gamble S'Klallam Tribe (federally recognized as Port Gamble Indian Community)	83%	\$14,143
X	WA	Skokomish	83%	\$13,024
X	WA	Tulalip Tribes of Washington	76%	\$21,509
X	WA	Suquamish Indian Tribe	79%	\$20,067
X	WA	Yakama	83%	\$12,836

Per Capita Income



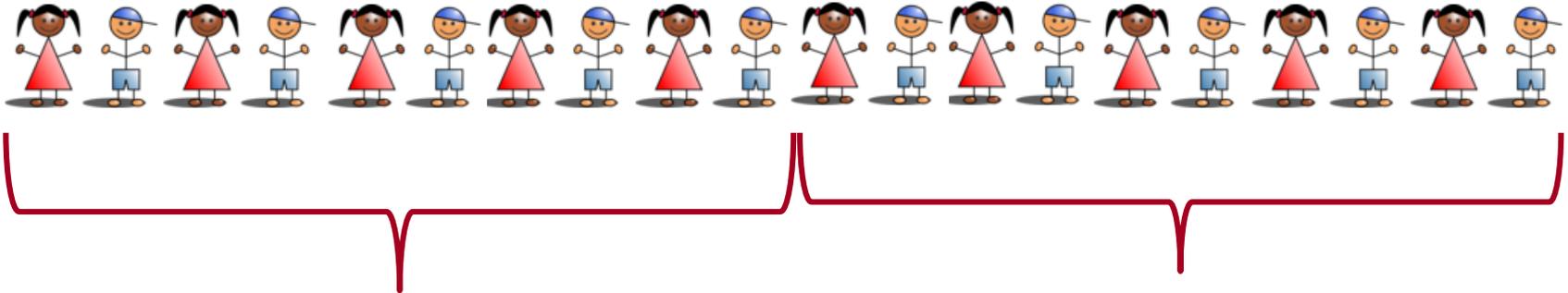
Count of 173 Tribes* by FMAP Percentage

** Includes Tribes Currently Operating Title IV-E Programs, Those Submitting Letters of Intent, Tribes with Title IV-E Planning Grants, and Tribes with Title IV-E Agreements or Contracts with States.*



- Less Than 60%
- 60% to 69%
- 70% to 75%
- 76% to 82%
- 83%

Foster Care Maintenance



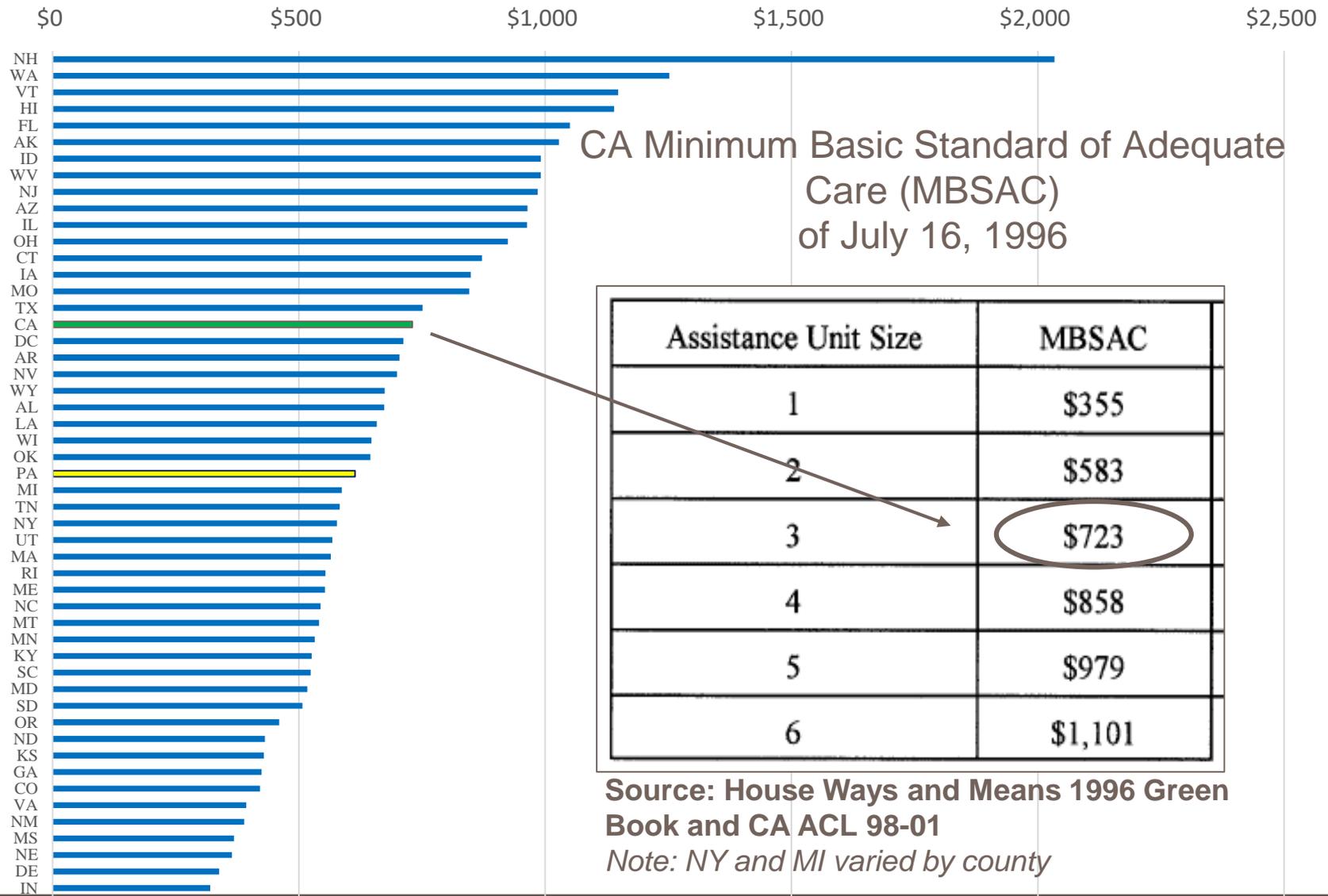
IV-E eligible children in IV-E eligible settings:

- Total Maintenance Payments times FMAP = Federal Share
- Total minus Federal Share = State & Local Share

Other children or settings:

- Total Maintenance Payments paid with state, local or other permissible funds

1996 AFDC Need Standard (Family Size of 3)

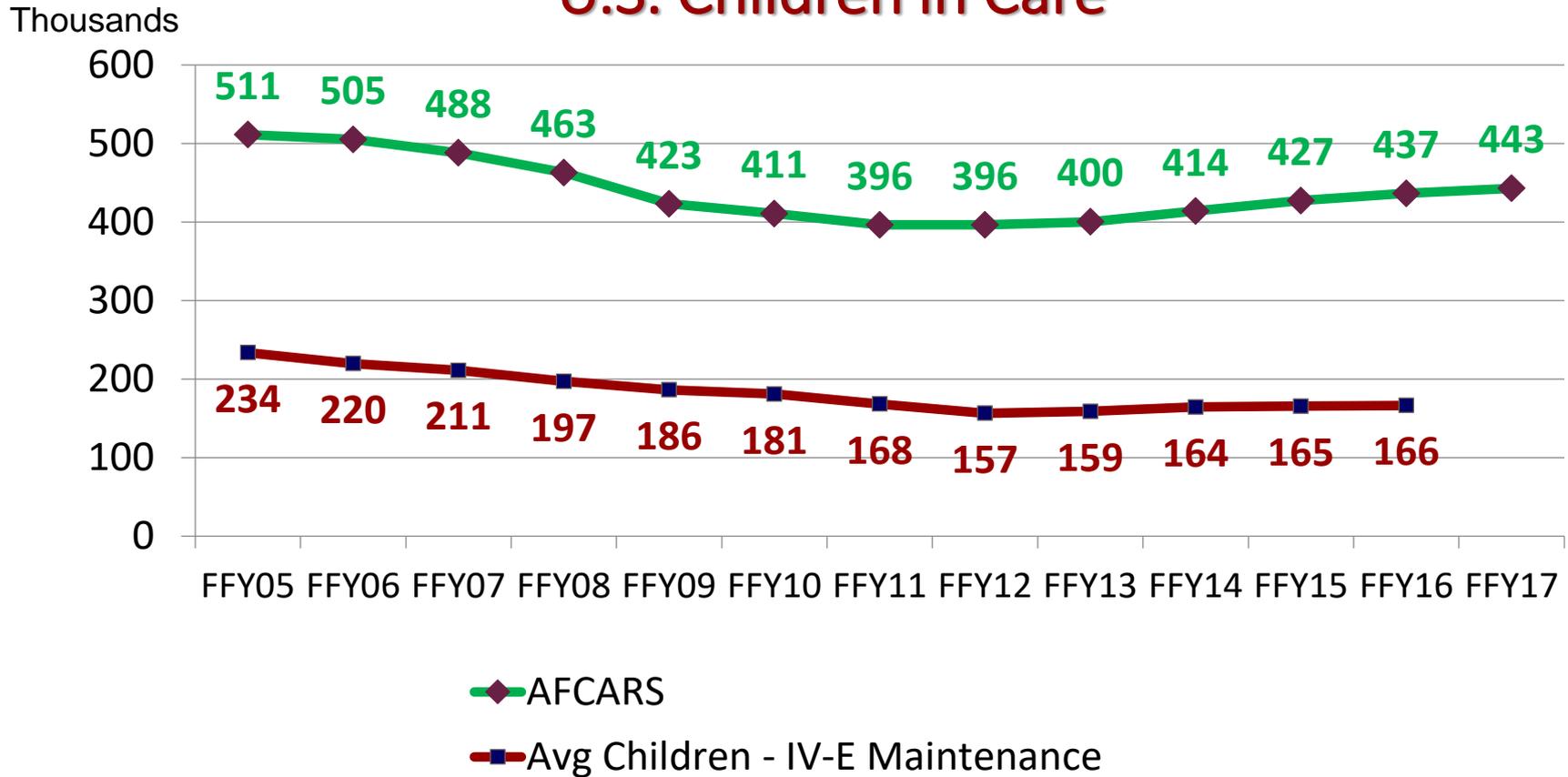


Source: House Ways and Means 1996 Green Book and CA ACL 98-01

Note: NY and MI varied by county

Caseload Trends

U.S. Children in Care

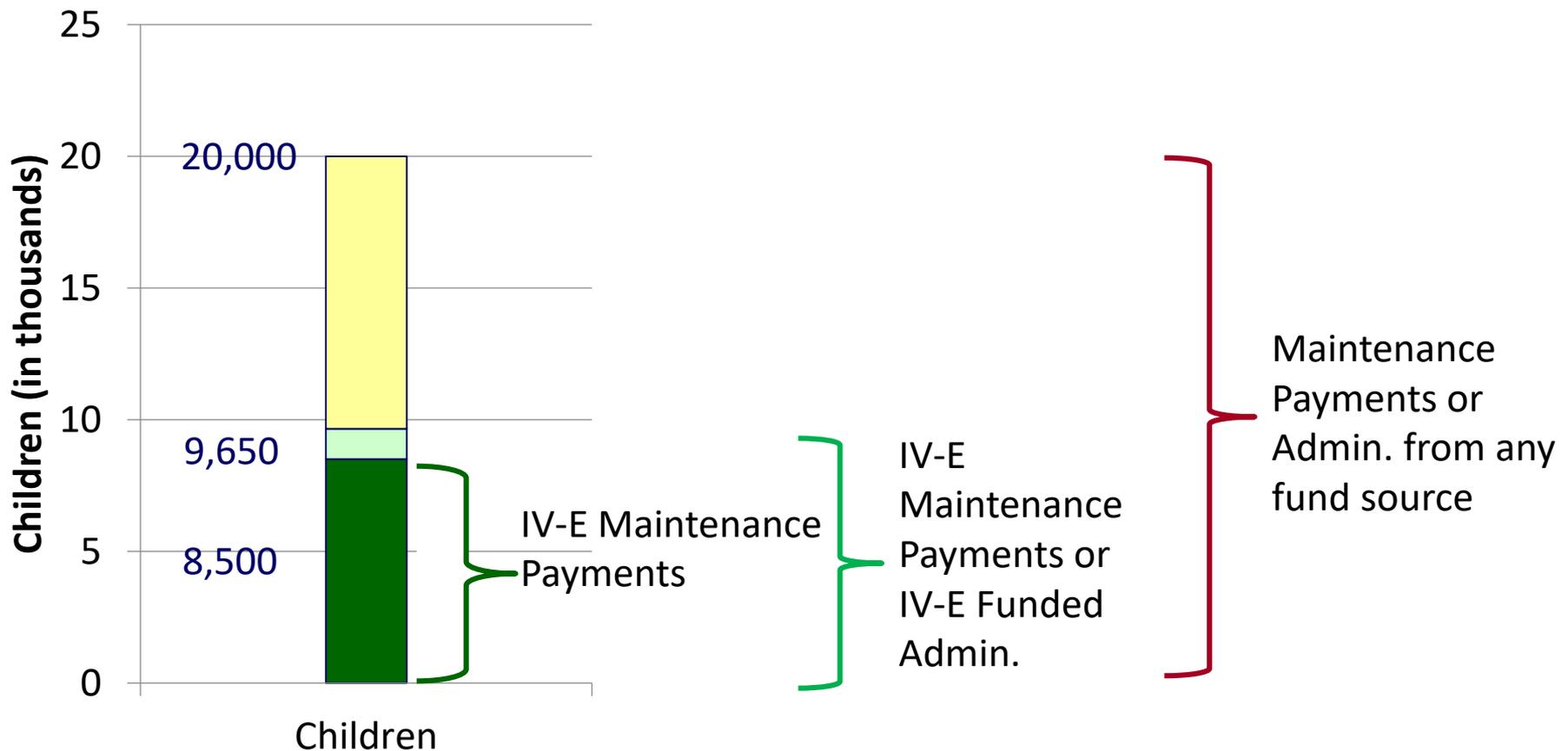


AFCARS data from Children's Bureau website IV-E data from ACF Annual Compilations

Foster Care Participation Rate

Hypothetical Example

$$9,650/20,000=48.25\%$$



Participation Rate

CW Policy Manual Section 8.1.C

8. Question: Which children should be included in the numerator and which in the denominator to determine a title IV-E foster care participation rate for purposes of claiming title IV-E Foster Care program administrative costs under section 474(a)(3) of the Social Security Act (the Act)?

Answer: A title IV-E agency that uses a title IV-E foster care participation rate (sometimes called the eligibility, Participation or discount rate) as a cost allocation methodology must determine this rate by **dividing the number of title IV-E foster care eligible children by the total number of children in foster care pursuant to the definition of foster care in 45 CFR 1355.20**. The numerator is comprised of the total number of children in foster care determined to meet all title IV-E eligibility requirements. A title IV-E agency may also include in the numerator otherwise eligible children placed with relatives pending foster family home approval or licensure (for the lesser of the average time it takes to license a foster home or 12 months) and children moving from a facility that is not licensed to one that is for up to one month pursuant to section 472(i)(1) of the Act. The denominator is comprised of the total number children who are in foster care, including those that are title IV-E eligible and those that are not or have not yet been determined title IV-E eligible.

Any methodology for claiming administrative costs, including the calculation of the participation rate described above, **must be a part of the State's approved cost allocation plan** or a Tribe's approved cost allocation methodology in accordance with 45 CFR 95.507(b)(4) and 2 C.F.R. Part 225.

Source/Date: 04/20/12

Participation Rate – Key Points

The numerator is comprised of the total number of children in foster care determined to meet all title IV-E eligibility requirements...

The denominator is comprised of the total number children who are in foster care, including those that are title IV-E eligible and those that are not or have not yet been determined title IV-E eligible.

Must be part of approved cost allocation plan

Participation Rate Child Counts

FFY 2018 and Earlier

SECTION D: AVERAGE MONTHLY NUMBER OF CHILDREN ASSISTED		
	CB-496 Part 1 – Section D	Actual Count Current Quarter
FOSTER CARE PROGRAM		
41	Number of Children: In-Placement - Title IV-E Maintenance Assistance Payments	
42	Number of Children: In-Placement - Title IV-E Funded Administrative Costs <i>(Includes row 41)</i>	Numerator
43	Number of Children: In-Placement - Any Payments or Administrative Costs	Denominator
44a	Number of Children: Pre-Placement - Title IV-E Funded Candidate Administrative Costs	
44b	Number of Children: Title IV-E Funded Sex Trafficking Administrative Costs	
ADOPTION ASSISTANCE PROGRAM		
45	Number of Children: Title IV-E Assistance Payments	Numerator
46	Number of Children: Any Assistance Payments	Denominator
47	Number of Children: Title IV-E Non-Recurring Administrative Cost Expenses	

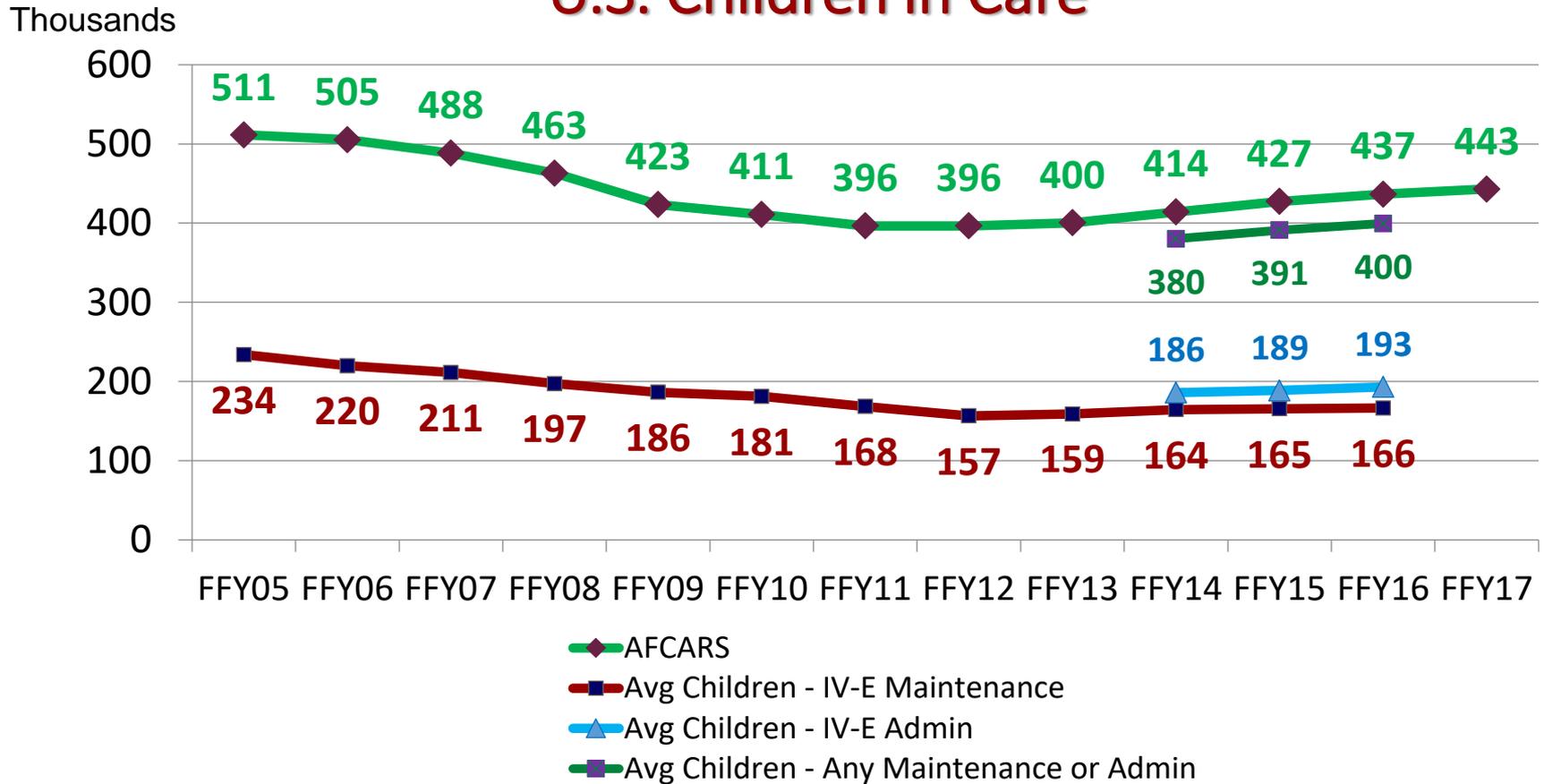
New Reporting Form – Quarter Ending 12/31/2018

ACYF-CB-PI-18-12

SECTION E: AVERAGE MONTHLY NUMBER OF CHILDREN ASSISTED		
	CB-496 Part 1 – Section E	Actual Count Current Quarter
FOSTER CARE PROGRAM		
48a	Number of Children In-Placement: Title IV-E Maintenance Payments - Foster Family Home	
48b	Number of Children In-Placement: Title IV-E Maintenance Payments - Specified Setting Child Care Institution	
48c	Number of Children In-Placement: Title IV-E Maintenance Payments - Non-Specified Setting Child Care Institution	
48d	Number of Children In-Placement: Title IV-E Maintenance Payments - Substance Abuse Treatment Facility	
49	Number of Children In-Placement: (Includes 48a through 48d) Title IV-E Funded Administrative Costs In All Placement Settings	Numerator
50	Total Number of Children In-Placement: Any Payments or Administrative Costs In All Placement Settings	Denominator
51	Number of Children Pre-Placement: Title IV-E Funded Candidate Administrative Costs	
52	Number of Children In-Placement, Care or Supervision Receiving: Title IV-E Funded Sex Trafficking Administrative Costs	
ADOPTION ASSISTANCE PROGRAM		
53	Number of Children: Title IV-E Assistance Payments	Numerator
54	Total Number of Children: Any Assistance Payments	Denominator
55	Number of Children: Title IV-E Non-Recurring Administrative Cost Expenses	

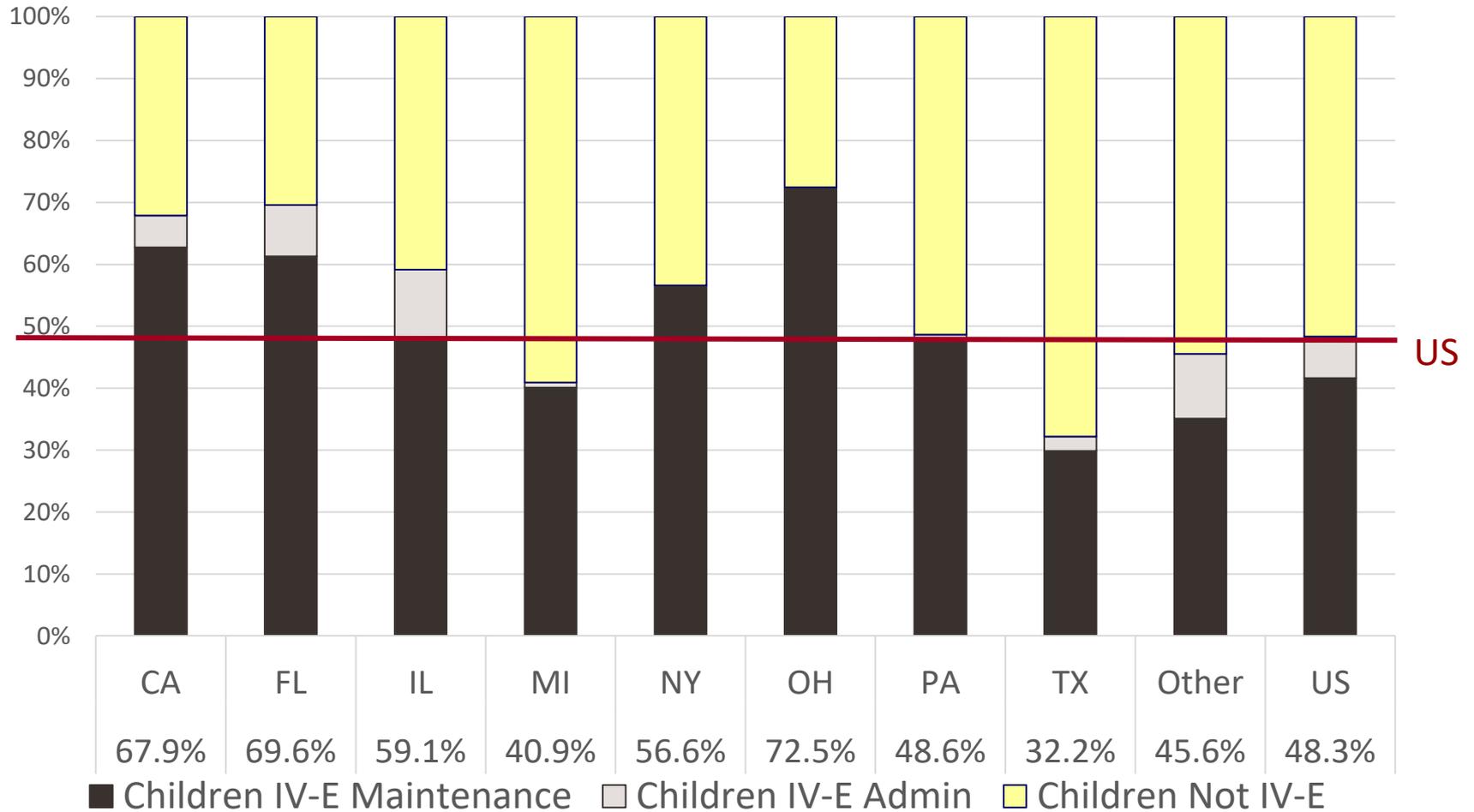
Caseload Trends

U.S. Children in Care



AFCARS data from CORS as of August 2018, IV-E data from ACF Annual Compilations

Estimated Foster Care Participation Rate – FFY 2016



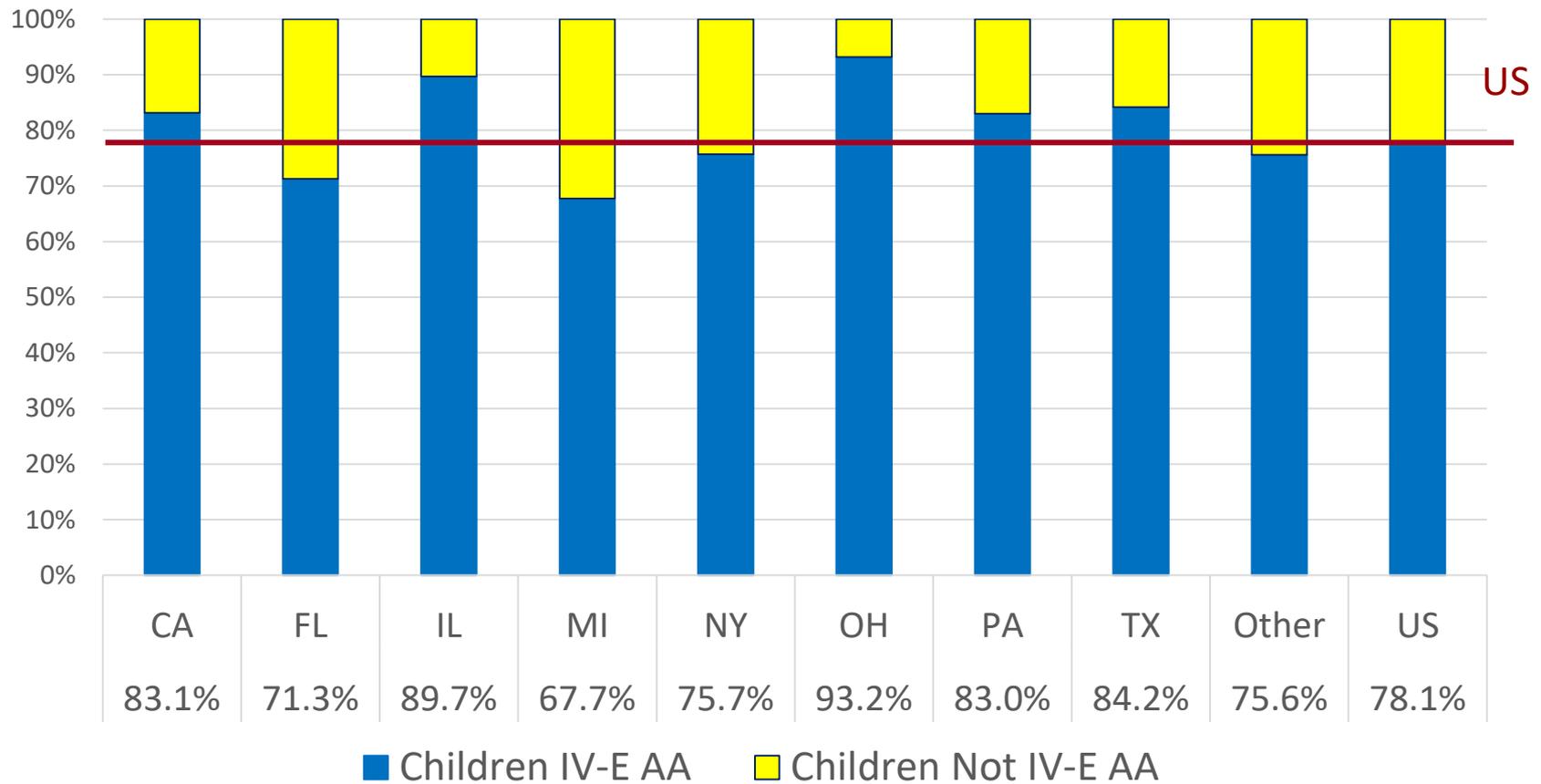
Adoption Assistance – “Applicable Child”

A child who is not an “applicable child” must meet the IV-E financial eligibility standard to be eligible for IV-E adoption assistance.

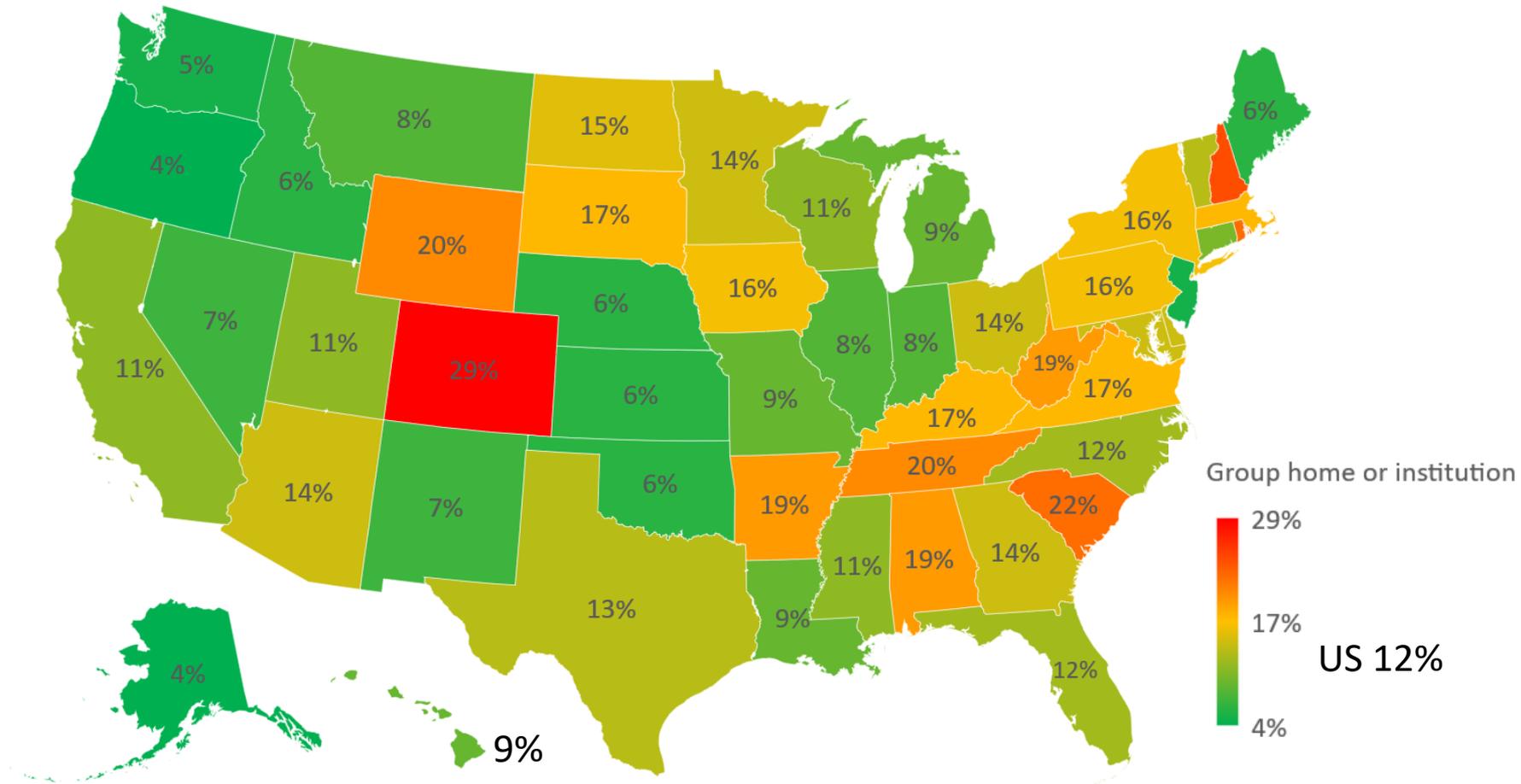
A child who is an “applicable child” is not subject to the IV-E financial eligibility standard (but is subject to other requirements).

Fiscal Year	Applicable Age	Fiscal Year	Applicable Age
2010	16	2015	6
2011	14	2016	4
2012	12	2017-2023	2
2013	10	2024 to 6/30/24	2
2014	8	7/1/24 or later	Any Age

Estimated Adoption Assistance Participation Rate FFY 2016



Percentage of Children in Group Home or Institution FY 2016 (Kids Count)



Out-of-Home Care by Setting as of September 30

Setting	2016	Low	High	2017
Foster family home - non-relative	45%	27%	75%	45%
Foster family home - relative	32%	4%	48%	32%
Group home or institution	12%	4%	29%	13%
Pre-adoptive home	4%	0%	28%	4%
Runaway	1%	0%	2%	1%
Supervised independent living	1%	0%	5%	1%
Trial home visit	5%	0	17%	5%

*Totals from AFCARS Reports #24 and #25. Ranges from Kids Count Data Book
Totals may not equal 100% due to rounding*

Other Factors in Variation Among Jurisdictions

- Use of relative care – licensed and unlicensed
- Handling of juvenile justice involved youth
- Removal rates
- Time to permanency
- And many other factors...

Leadership and Vision can have a profound impact



Family First

This Way



FFPSA Impact Areas

1. Prevention Services Provisions
2. Congregate Care Provisions
3. New Opportunities Prior to 10/1/2019
 - Kinship Navigator Services
 - Children with Parent in Residential Substance Abuse Treatment
4. Title IV-B Changes
5. Chafee Foster Care Program for Successful Transitions to Adulthood

Key Guidance Documents

- **ACYF-CB-PI-18-07 of July 9, 2018** – Covers a number of provisions including “specified settings” for care that is not in foster family homes.
- **ACYF-CB-PI-18-09 (States) or ACYF-CB-PI-18-10 (Tribes) of November 30, 2018** – Includes Prevention Services and Activities, Maintenance of Effort (MOE) and the Clearinghouse for Evidence-Based Programs.
- **ACYF-CB-PI-18-11 of November 30, 2018** – Focuses on Kinship Navigator Programs.
- **ACYF-CB-PI-18-12 of November 30, 2018** – Revised Form and Instructions for IV-E Financial Reporting.

Prevention Services

- Mental Health and Substance Abuse Prevention and Treatment Services
- In-Home Parent Skill-Based Programs
 - Parenting skills training
 - Parent Education, and
 - Individual and Family Counseling
- For not more than 12 months
- For Candidates or children in foster care who are pregnant or parenting
- Trauma-Informed
- Provided in Accordance with Promising, Supported or Well-Supported Practices.



(or pregnant or parenting youth)

Title IV-E Prevention Services Clearinghouse

First Programs Selected for Review

Mental Health:

- Parent-Child Interaction Therapy
- Trauma Focused-Cognitive Behavioral Therapy
- Multisystemic Therapy¹
- Functional Family Therapy

Substance Abuse:

- Motivational Interviewing
- Multisystemic Therapy²
- Families Facing the Future
- Methadone Maintenance Therapy

In-Home Parent Skill-Based:

- Nurse-Family Partnership
- Healthy Families America
- Parents as Teachers

Kinship Navigator Programs:

- Children's Home Society of New Jersey Kinship Navigator Model
- Children's Home Inc. Kinship Interdisciplinary Navigation Technologically-Advanced Model (KIN-Tech)

Candidacy & Placement and Care

PI-ACYF-CB-18-09 (11/30/2018)

- We are **not further defining** the phrase “**candidate for foster care**” as it appears in section 475(13) of the Act or further defining the term “**imminent risk**” of entering foster care for the title IV-E prevention program.
- However, because **a child may not be simultaneously in foster care and a “child who is a candidate for foster care,”** once the child enters foster care, reimbursement for the child under the title IV-E prevention program must end. **Foster care** is defined in 45 CFR 1355.20 and **includes children under the placement and care of the state title IV-E agency who are placed in a licensed or unlicensed kinship placement, regardless of whether payments are made** by the state, tribal or local agency for the care of the child or whether there is federal matching of any payments that are made.

Candidacy Comparison

Current Law [472(i), SSA]	FFPSA [475(13), SSA]
Imminent risk of removal	Imminent risk of entering foster care
Identified in defined case plan, IV-E eligibility form or court order	Identified in prevention plan
Absent preventive services, foster care is the planned arrangement for the child	Service needs directly related to the child’s safety, permanence, or well-being or to prevent entry
Renewed every 6 months	Not more than 12 months – but additional 12-month periods permitted including contiguous.
No services – Administration 50% match subject to foster care participation rate.	Specified services 50% match (with some restrictions) - Administration 50% match not subject to 1996 AFDC eligibility.
CWPM 8.1D Applies	CWPM 8.1D Does NOT Apply

Maintenance of Effort (MOE)

Any Other State

Program
(but not IV-E)

TANF

IV-B

SSBG



PI-18-09 and PI-18-10

Prevention Services and Activities

- Allowable types (Mental Health, Substance Abuse or In-Home Parent Skill-Based Programs)
- For candidates, pregnant or parenting youth or their parents and kin caregivers
- EVPs approved by IV-E Prevention Clearinghouse and trauma-informed

Does NOT Include:

- IV-E Expenditures
- IV-E Waiver Expenditures

One-time calculation

FFY 2014

(most states)

Types of “Institutional Care”

Not Specified



Licensed residential family-based treatment facility



Supervised Independent Living (age 18+)



Prenatal Postpartum or Parenting Supports



Victims Of or At Risk of Sex Trafficking



**QRTP
Qualified Residential Treatment Program**

Specified Settings

SAFE**STRONG**SUPPORTIVE

QUESTIONS?
COMMENTS?

safe children | strong families | supportive communities

SAFE**STRONG**SUPPORTIVE

**YOU WILL RECEIVE AN EMAIL WITH A
BRIEF SURVEY AND A LINK TO THE
RECORDING & MATERIALS**

**PLEASE SEND ANY FOLLOW-UP
QUESTIONS TO
KMRESOURCES@CASEY.ORG**

THANK YOU!